

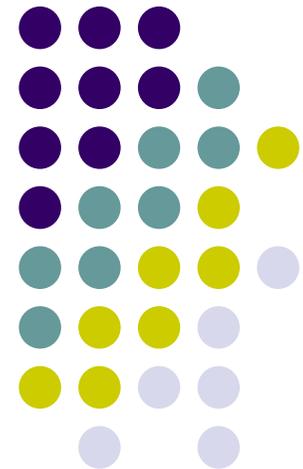
# Three-body continuum states

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1. Introduction
2. The 3-body model
3. Continuum states
4. Application to  $\alpha+n+n$ , and  $\alpha+\alpha+\alpha$
5. Conclusion



# Introduction

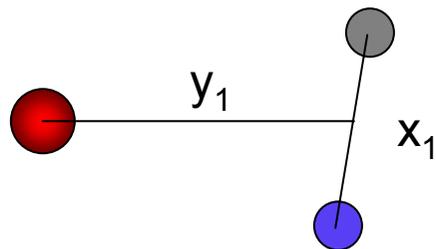


## Many applications of 3-body models:

- Halo nuclei:  ${}^6\text{He}=\alpha+n+n$ ,  ${}^{14}\text{Be}={}^{12}\text{Be}+n+n$ , etc.
- Unbound nuclei:  ${}^5\text{H}={}^3\text{H}+n+n$ ,  ${}^{10}\text{He}={}^8\text{He}+n+n$ , etc.
- Cluster states:  ${}^{12}\text{C}=\alpha+\alpha+\alpha$
- Reactions:  ${}^7\text{Be}(p,\gamma){}^8\text{B}$ , with  ${}^7\text{Be}=\alpha+{}^3\text{He}$
- Hypernuclei

- Exotic nuclei: low separation energies (or  $<0$ )  $\rightarrow$  continuum states are fundamental  
breakup processes need **3-body continuum** wave functions
- Astrophysics: some 3-body processes are important
  - $\alpha+\alpha+n$
  - $\alpha+\alpha+\alpha$
  - others?

# The three-body equation



Jacobi coordinates  $x_1, y_1$

3 sets  $(x_i, y_i), i=1,2,3$

Hyperspherical coordinates:

$$\rho^2 = x_1^2 + y_1^2 = x_2^2 + y_2^2 = x_3^2 + y_3^2$$

$$\alpha_i = \arctan \frac{y_i}{x_i}$$

$$\Omega_{x_i}, \Omega_{y_i}$$



6 coordinates

Hamiltonian:

$$H = \sum_{i=1}^3 T_i + \sum_{i<j} V_{ij} \quad \text{to be expressed in } (\rho, \Omega_{x_i}, \Omega_{y_i}, \alpha_i)$$



## Kinetic energy

$$T = T_1 + T_2 + T_3 = -\frac{\hbar^2}{2m_N} \left( \frac{\partial^2}{\partial \rho^2} + \frac{5}{\rho} \frac{\partial}{\partial \rho} - \frac{K^2(\Omega_{5i})}{\rho^2} \right),$$

With  $K^2(\Omega) =$  angular operator (equivalent to  $L^2$  in two-body systems)

- **Eigenfunctions:**  $\mathcal{Y}_{KLM_L}^{\ell_x \ell_y}(\Omega_5) = \phi_K^{\ell_x \ell_y}(\alpha) [Y_{\ell_x}(\Omega_x) \otimes Y_{\ell_y}(\Omega_y)]^{LM_L}$ .  
=hyperspherical harmonics
- **Eigenvalues :**  $K(K+4)$

With  $l_x, l_y =$  angular momenta associated with  $x, y$

$K =$  hypermoment

$\Phi_K(\alpha) =$  Jacobi polynomial

$$\text{Spin: } \mathcal{Y}_{\ell_x \ell_y LSK}^{JM}(\Omega) = \left[ \mathcal{Y}_{KL}^{\ell_x \ell_y}(\Omega) \otimes \chi^S \right]^{JM}, \quad \text{with } S = \text{total spin}$$



Schrödinger equation  $H\Psi^{JM\pi} = E\Psi^{JM\pi}$

$\Psi^{JM\pi}$  is expanded over the hyperspherical harmonics

$$\Psi^{JM\pi}(\rho, \Omega_5) = \rho^{-5/2} \sum_{\ell_x \ell_y LSK} \underbrace{\chi_{\ell_x \ell_y LSK}^{J\pi}(\rho)}_{\text{To be determined}} \times \underbrace{\mathcal{Y}_{\ell_x \ell_y LSK}^{JM}(\Omega_5)}_{\text{Known functions}}$$

→ Set of equations for  $\chi_{\ell_x \ell_y LSK}^{J\pi}(\rho)$

$$\left[ -\frac{\hbar^2}{2m_N} \left( \frac{d^2}{d\rho^2} - \frac{(K + 3/2)(K + 5/2)}{\rho^2} \right) - E \right] \chi_{\gamma K}^{J\pi}(\rho) + \sum_{K'\gamma'} V_{K'\gamma', K\gamma}^{J\pi}(\rho) \chi_{\gamma' K'}^{J\pi}(\rho) = 0,$$

$$\gamma = (\ell_x \ell_y LS)$$

- Potential determined numerically
- This system is truncated at  $K = K_{max}$
- *Common to bound and continuum states*



Size of the system:

Example:  ${}^6\text{He} = \alpha + n + n : S=0$  or  $1$

	$J = 0^+$		$J = 2^+$	
$K_{max}$	$S = 0$	$S = 0, 1$	$S = 0$	$S = 0, 1$
8	9	15	16	46
12	16	28	33	99
16	25	45	56	172
20	36	66	85	265
24	49	91	120	378

To be multiplied by the number of basis functions ( $\sim 20 - 30$ )

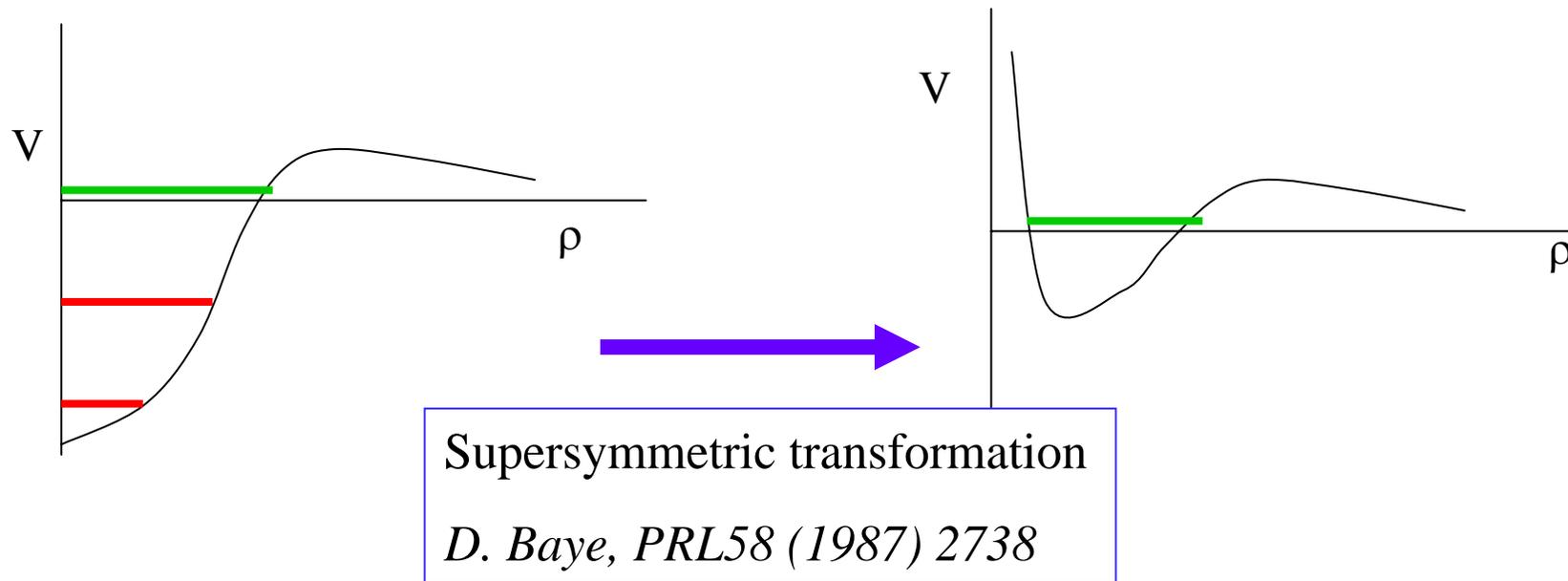
→ large systems for high  $J$  values

**Removal of 2-body forbidden states:** 2 possibilities



- **Projection:** Replace  $V_{ij}$  by  $V_{ij} + \Lambda P_{ij}$  with
  - $P_{ij}$ =two-body projector (non local)
  - $\Lambda$ =large energy (typically  $\sim 10^6$  to  $10^8$  MeV) $\Rightarrow$  2-body forbidden states are pushed at high energy

- **Supersymmetry:** replace the potential by its supersymmetric partner



$\alpha+n$ : 1 f.s. for  $\ell=0$   
 $\alpha+\alpha$ : 2 f.s. for  $\ell=0$ , 1 f.s. for  $\ell=2$

# 3-body continuum states

*P. D., E. Tursunov, D. Baye, Nucl. Phys. A 765 (2006) 370*

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- Finite bases → use of the R-matrix method
- Long range of the potential
  - large bases
  - propagation techniques are necessary
- Three-body coulomb phase shifts
- Eigenphases

## Principle of the $R$ matrix



The space is divided in **two** regions:

$$r < a: \chi_{i,\text{int}}^{J\pi}(\rho) = \sum_{j=1}^N C_{ij} f_j(\rho/a) \quad (\text{Legendre functions})$$

$$r \geq a: X_{i,\text{ext}}(\rho) \text{ given by } (T - E)\chi_{i,\text{ext}}^{J\pi}(\rho) = 0 \quad (V \text{ negligible})$$

$$\left[ \frac{\partial^2}{\partial \rho^2} + \frac{5}{\rho} \frac{\partial}{\partial \rho} - \frac{K(K+4)}{\rho^2} + \kappa^2 \right] \chi_{i,\text{ext}}(\rho) = 0$$

$$\text{Exact (asymptotic) solution: } \chi_{i,\text{ext}}^{J\pi}(\rho) = A_i(\kappa\rho)^{1/2} (I_i(\kappa\rho) - U_{ij}O_j(\kappa\rho))$$

With  $A_i$  = amplitude

$I_j, O_j$  = ingoing and outgoing functions (depend on Bessel functions  $Y_{K+2}, J_{K+2}$ )

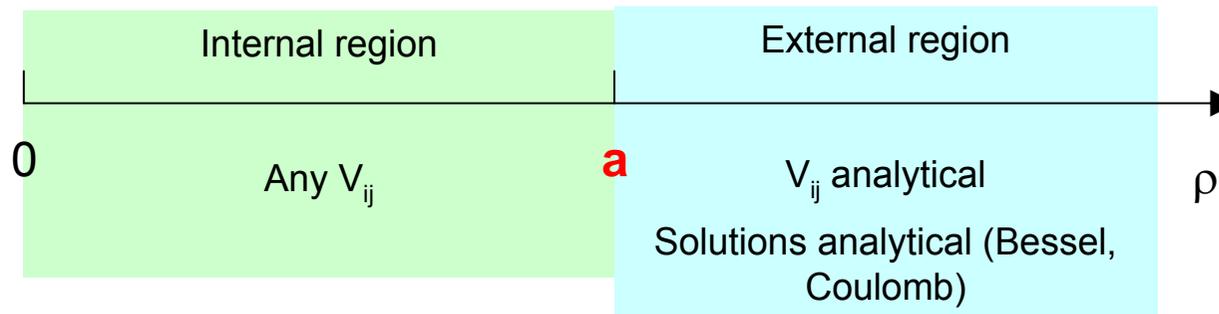
$K$  = wave number =  $(2m_N E/\hbar^2)^{1/2}$

$U_{ij}$  = collision matrix : provides the information about the collision should not depend on a

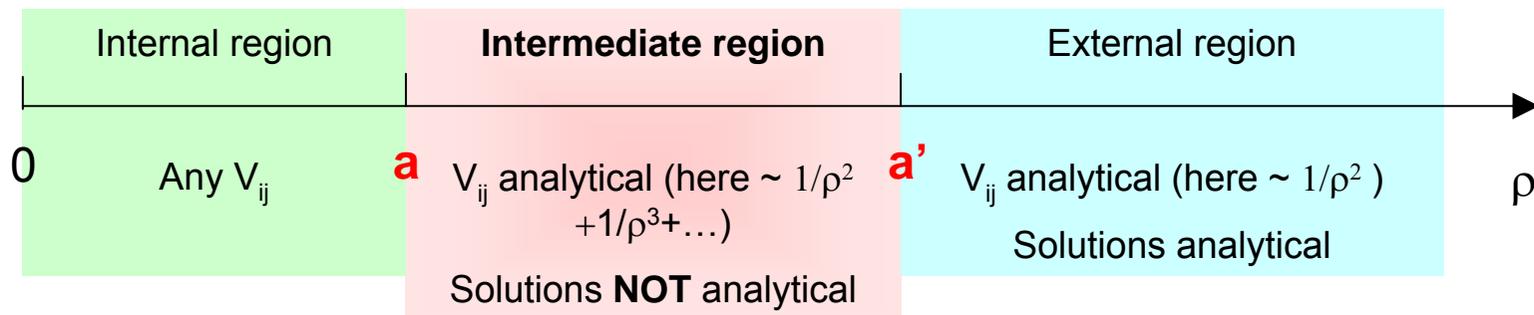
## Peculiarities of 3-body problems



2-body



3-body



$a \sim 20 - 30 \text{ fm}$

$a' \sim 1000 \text{ fm} \rightarrow$  propagation techniques are necessary

## Two propagation methods



### 1. General properties

- The  $R$  matrix is determined at radius  $a$  from the basis functions (typically  $a \sim 25$  fm ,  $N \sim 25$ )
- The  $R$ -matrix is a link between the wave function and its derivative at  $\rho=a$

$$\chi_i^{J\pi}(a) = \sum_j R_{ij} \left( \frac{d\chi_j^{J\pi}}{d\rho} \right)_{\rho=a}$$

### 2. Propagation of the wave function

- The Schrödinger equation is integrated from  $\rho=a$  to  $\rho=a'$  with the Numerov algorithm

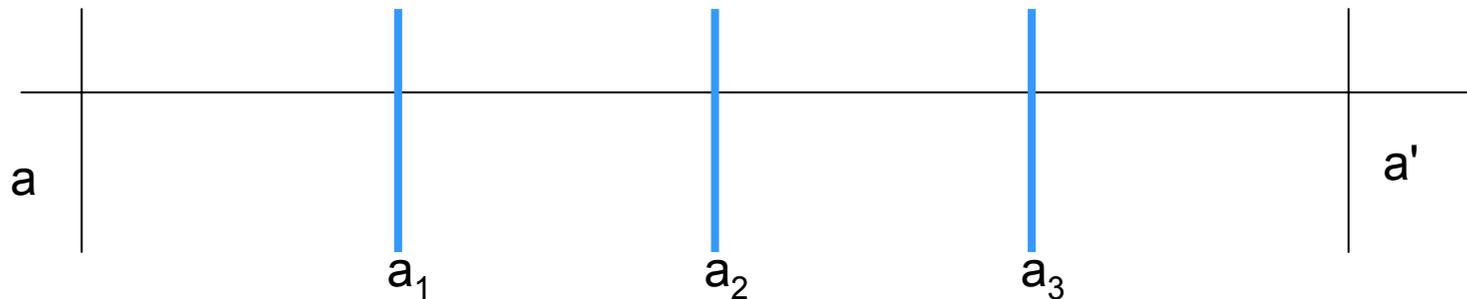
$$(T - E)\chi_i^{J\pi}(\rho) + \sum_j V_{ij}(\rho)\chi_j^{J\pi}(\rho) = 0$$

with  $V_{ij}(\rho)$  known analytically: 
$$V_{ij}(\rho) \approx \sum_{k=1}^{N_0} \frac{\alpha_{ij}^k}{\rho^{3+2k}}$$

- should converge with  $N_0$  (typically  $N_0 \sim 5-10$ )
- coefficients  $\alpha_{ij}$  are determined from the potential
- Not possible with projection on pfs (non local potential)

### 3. Propagation of the R matrix

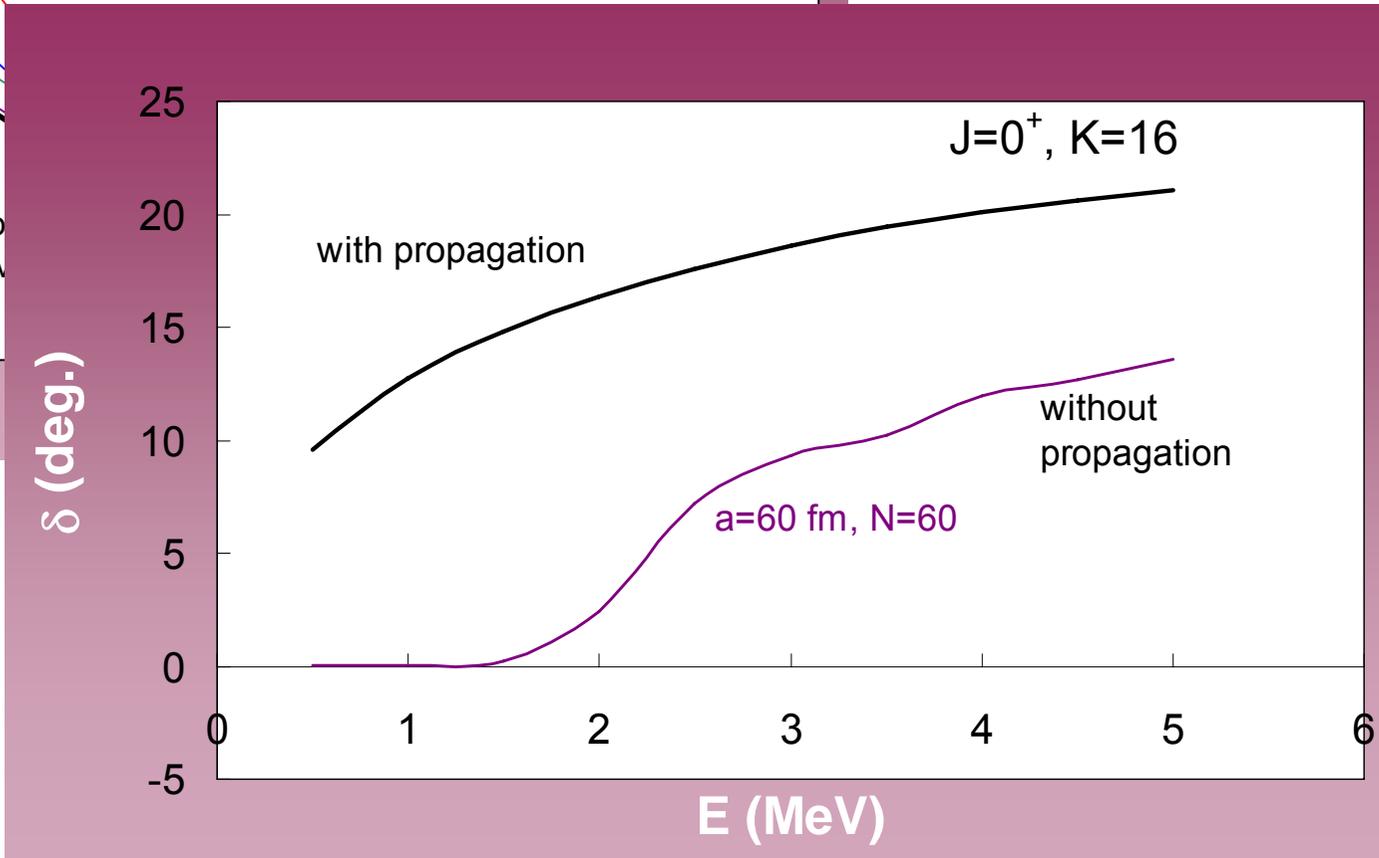
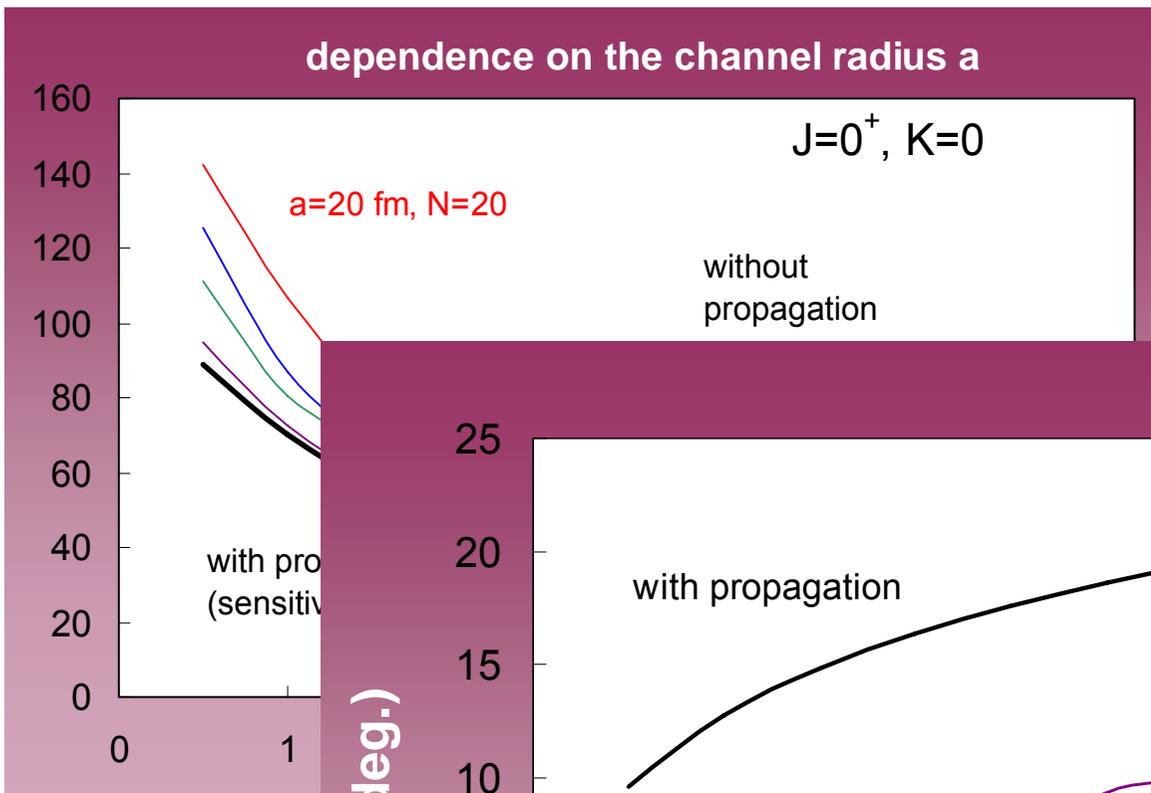
- Burke et al., Comp. Phys. Com. 27 (1982) 299
- Common to supersymmetry and projection techniques
- Idea: to split the interval  $[a, a']$  in  $N$  pieces



- In sub-interval  $i$ , define basis functions
- Here: Lagrange functions
- Determine the R matrix  $R_i$  from  $R_{i-1}$
- Typical values:  $a \sim 40$  fm,  $a' \sim 1000$  fm  
 $N \sim 30$  with 20-30 basis functions

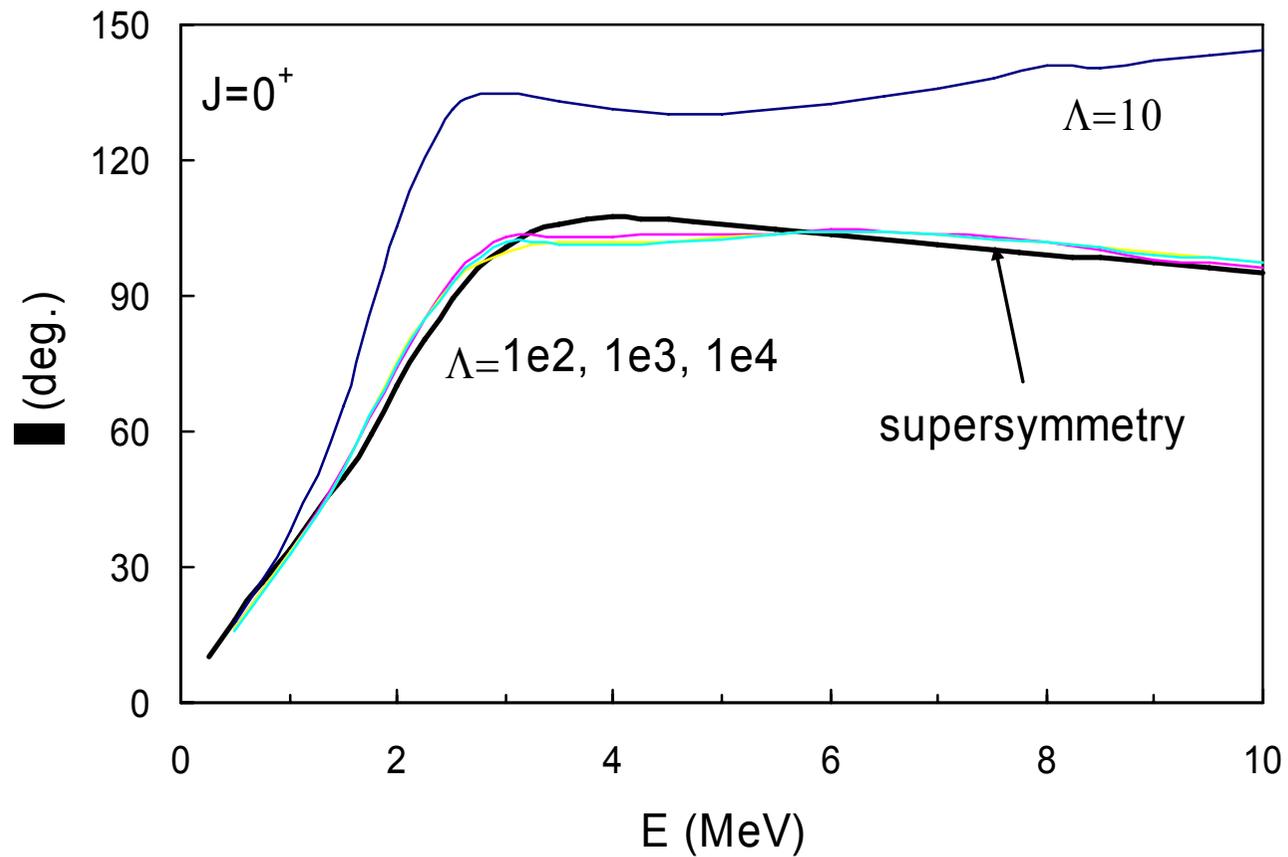
**Main difficulty: matrix elements of the projector on forbidden states**

Example:  ${}^6\text{He}=\alpha+n+n$



Comparison: projection to pfs  $\leftrightarrow$  supersymmetry

Done on  ${}^6\text{He}$ ,  $J=0^+$ ,  $K_{\text{max}}=16$



$\rightarrow$  very close to each other for  ${}^6\text{He}$

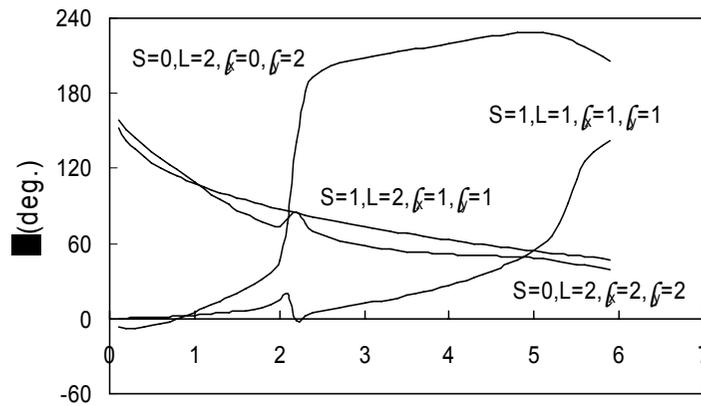
# Eigenphases

For large K values the number of channels is quite large: ~100-200  
→ eigenphases of the collision matrix

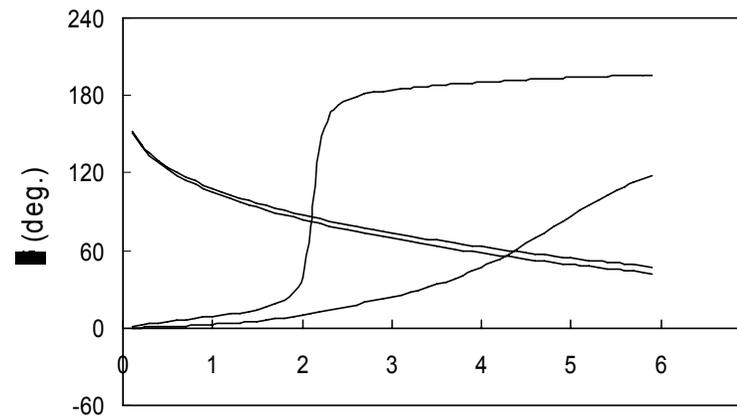


A simple example:  $\alpha+n+n$ ,  $J=2^+$ ,  $K_{max}=2$   
→ 4 channels

before diagonalisation



after diagonalisation



## Coulomb phase shifts

For 2-body:  $\delta = \delta_N + \delta_C$

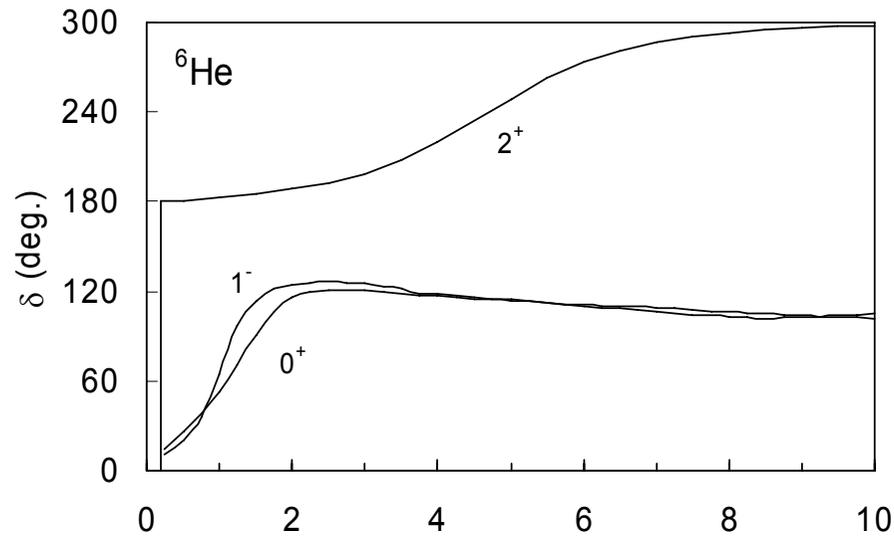
For 3-body: more complicated since the coulomb phase shifts are not diagonal

$$\rightarrow U = U_C^{1/2} U_N U_C^{1/2}.$$

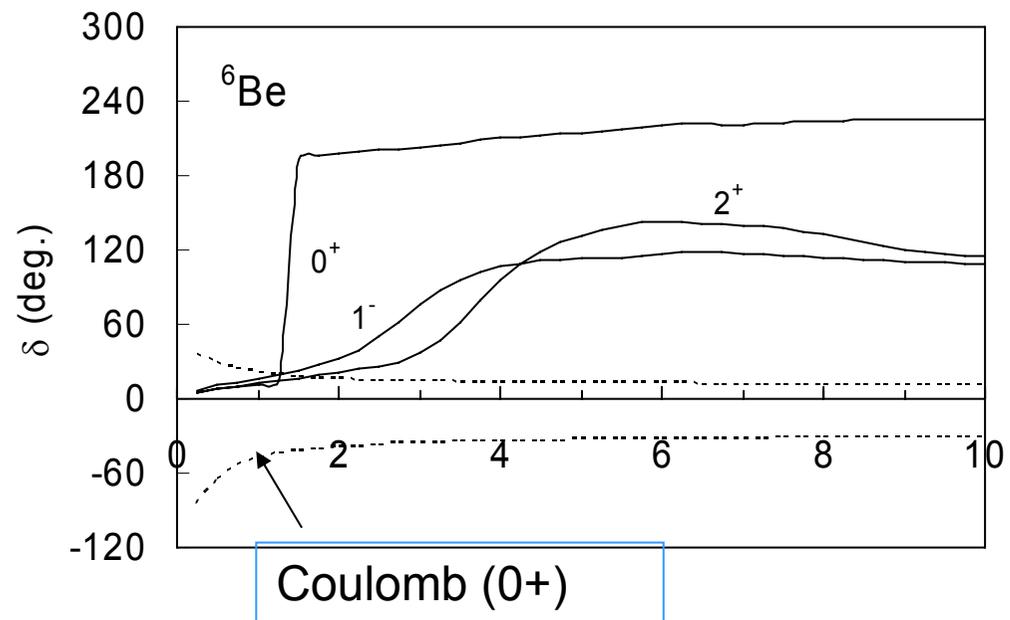
where  $U_C$  is obtained from the Coulomb potential only



**${}^6\text{He}$  and  ${}^6\text{Be}$  phase shifts (eigenphases):** similar to I. Thompson et al, PRC61 (2000) 024318



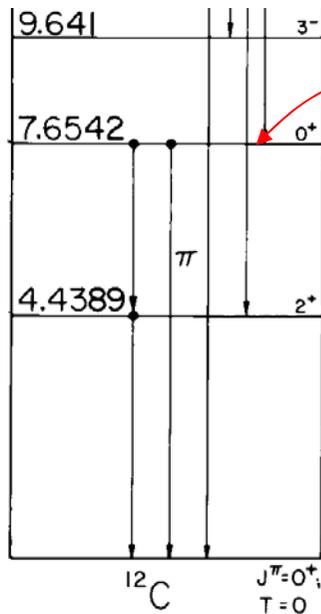
Kmax= 24 (0<sup>+</sup>)  
 19 (1<sup>-</sup>)  
 16 (2<sup>+</sup>)



# 3 $\alpha$ continuum states



- Many works on  $^{12}\text{C}$ : recently above the  $3\alpha$  threshold



"Hoyle state": astrophysics

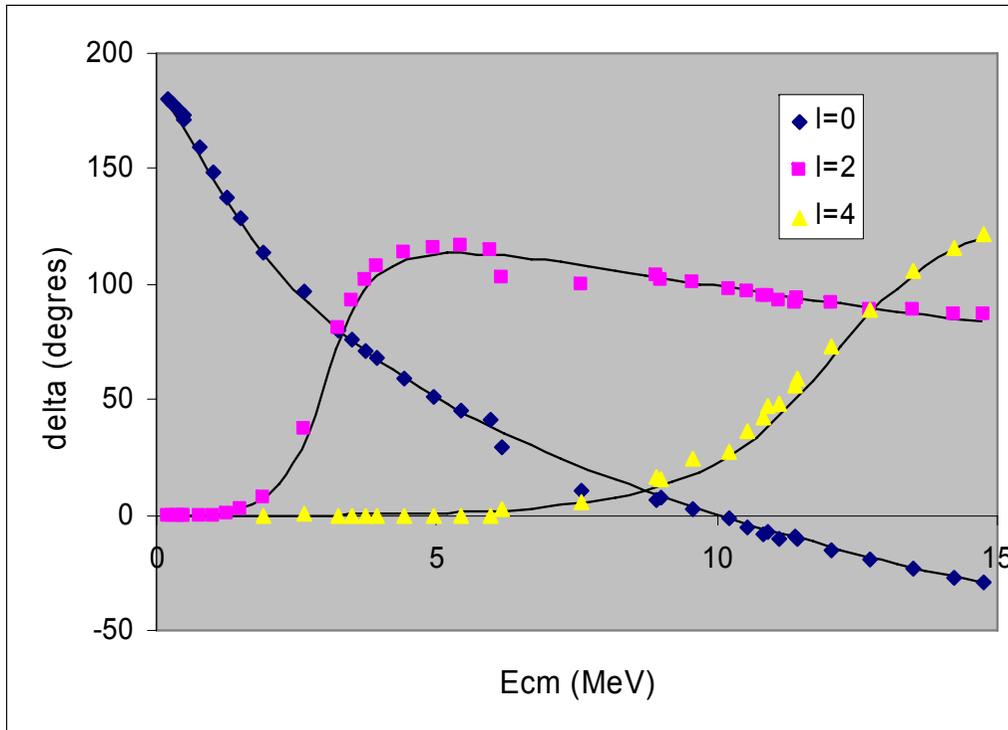
$$\frac{7.3666}{^8\text{Be} + \alpha}$$

## Important issues:

- $0^+_2$  = Bose-Einstein condensate?
- $2^+$  partner of  $0^+_2$  (exists? where?)
- Fynbo et al.: no  $2^+_2$  but a  $0^+_3$

- Broad resonances  $\rightarrow$  importance of a 3-body continuum model
- $\alpha+\alpha$  scattering well described by different potentials
  - deep potentials (Buck potential)
  - shallow potentials (Ali-Bodmer potentials)
- $\rightarrow$  we may expect a good description of the  $3\alpha$  system

- $\alpha+\alpha$  phase shifts



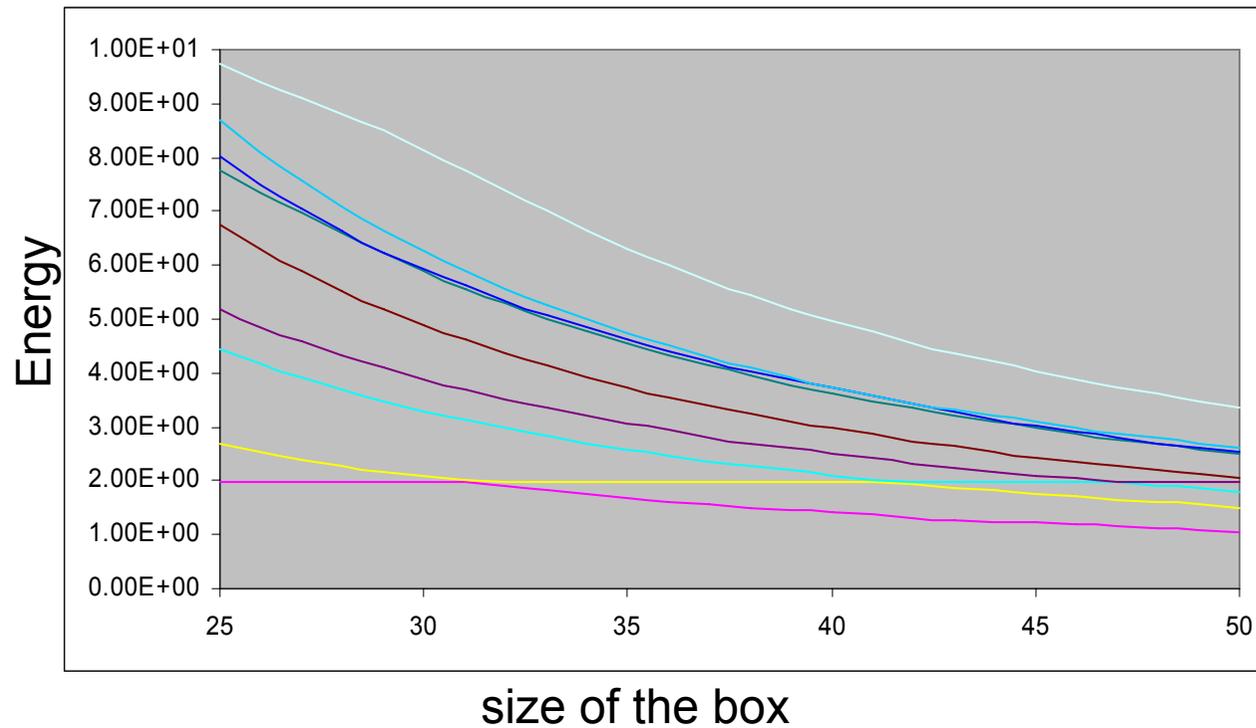
Buck potential

- $V = -122.6 \exp(-(r/2.13)^2)$
- deep
- $l$  independent

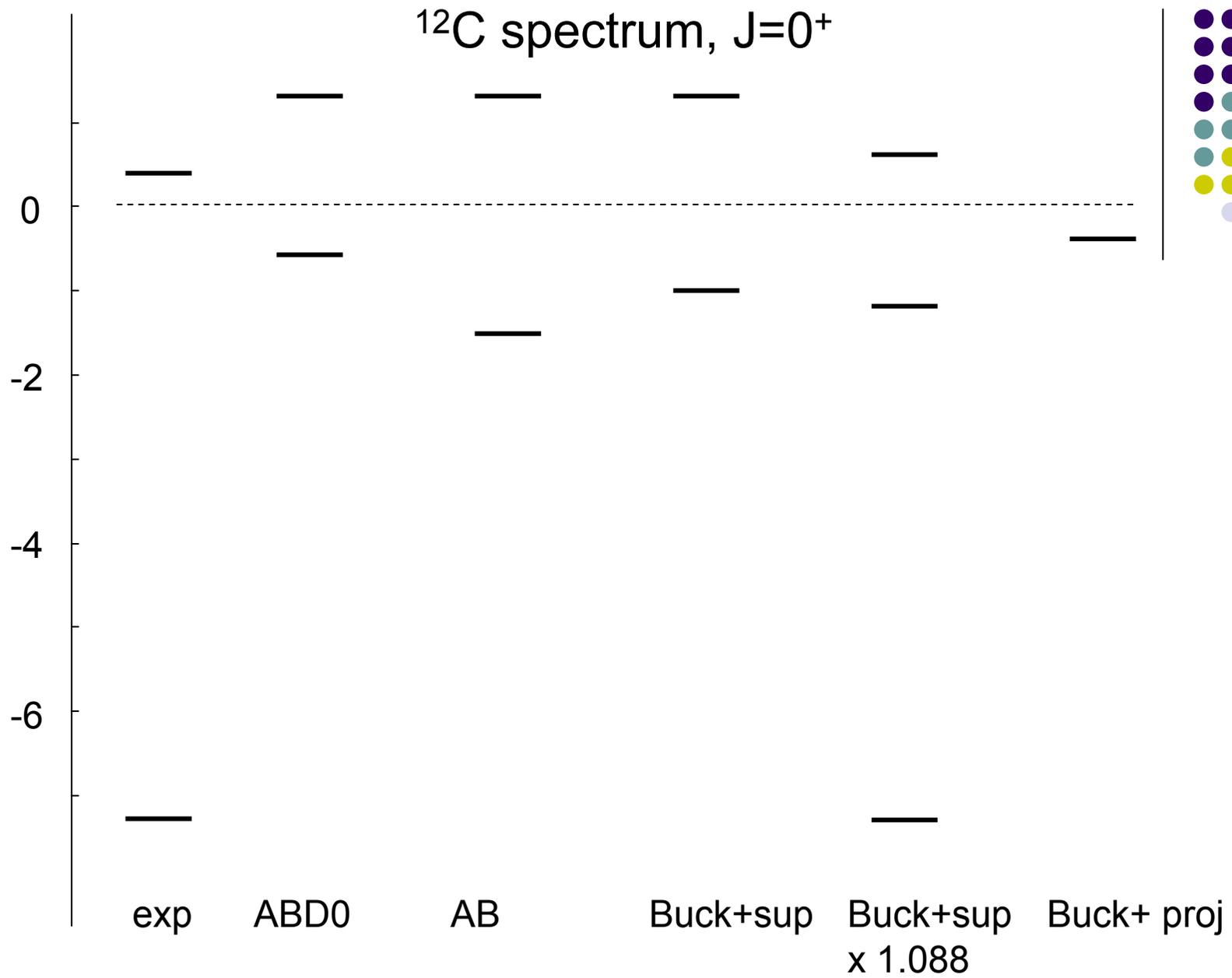
Others: similar quality



- $3\alpha$  spectroscopy
  - Bound states: simple diagonalization
  - Resonances: box method (Maier et al. JPB 13 (1980) L119)



→ narrow resonance near 2 MeV



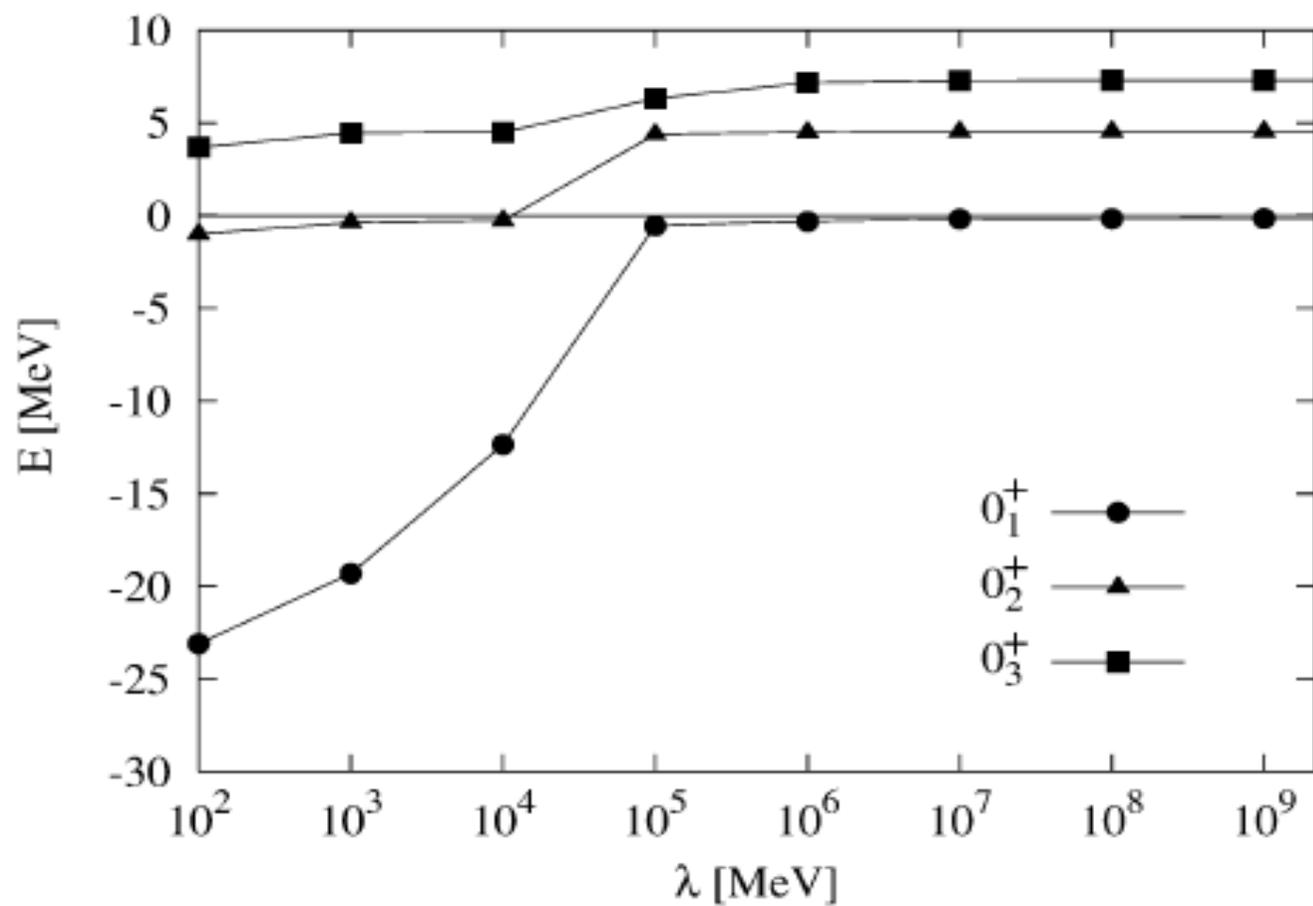
**→ no satisfactory potential!!**



## Projection technique: E vs $\Lambda$

H. Matsumura et al., Nucl. Phys. A776 (2006) 1

No ground state if  $\Lambda$  too large

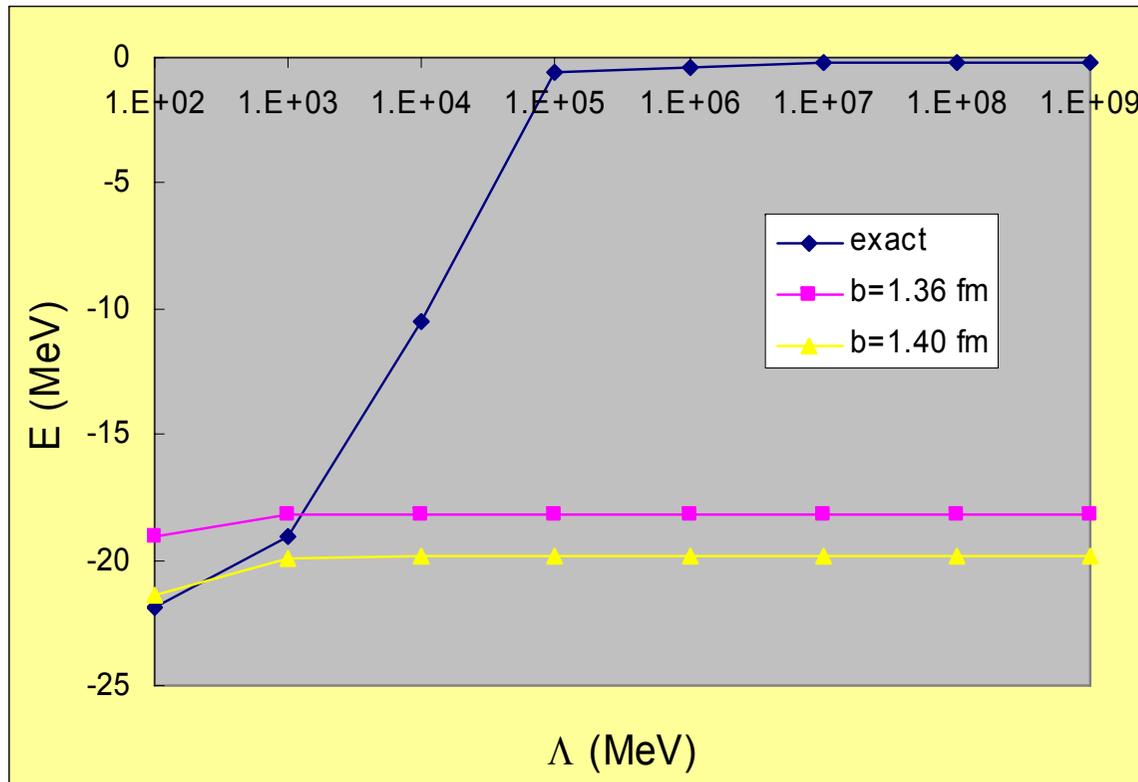
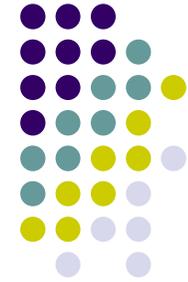


**Further problem:** definition of the forbidden states

Projector: 
$$P = \sum_f |\psi_f(\mathbf{x})\rangle\langle\psi_f(\mathbf{x})|,$$

Two possible definitions:

1.  $\psi_f(x)$  is the exact solution associated with the potential
2.  $\psi_f(x)$  is consistent with the cluster theory  $\rightarrow$  h.o. orbitals



$\rightarrow$  strong difference  
 $\rightarrow$  sensitivity with b  
 $\rightarrow$  "almost" forbidden states

# Conclusion

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- 3-body continuum much more complicated than 2-body continuum (hyperspherical coordinates)
  - propagation method is necessary to achieve a good convergence
- Recent developments: 2 propagation methods (necessary to use the projection technique)
- Use of the Lagrange-mesh technique for bound and scattering states:
  - **fast** (no integral for the matrix elements)
  - **accurate** (stability with the channel radius  $a$ )
  - Coulomb easily included
- ${}^6\text{He}$ : relatively simple, good test case
- ${}^{12}\text{C}$ :
  - ▶ more complicated (no suitable  $\alpha+\alpha$  potential) → in progress
  - ▶ Matsumura et al: BFW potential should be adapted (range)
  - ▶ 3-body forces?
  - ▶ Non-local potentials (based on RGM kernel)?
  - ▶ Microscopic approach? (for the moment: spectroscopy only)